Your Assets Are Secure and You Retain Control:

CFO is a Registered Investment Advisor with the United States Securities and Exchange Commission, and the absolute confidence of our clients for the safety and security of their investment accounts managed by *CFO* is our number one priority. Our clients always retain control of their accounts. *CFO* does <u>not</u> take custody of clients' assets. All *CFO* client brokerage accounts are registered in the name of the client with an independent, third-party custodian: Pershing LLC.

Through United Planners Financial Services, a broker-dealer and member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investor Protection Corporation (SIPC), *CFO* client brokerage accounts are held in custody by Pershing LLC, which is a registered broker-dealer, a member of the New York Stock Exchange, FINRA, SIPC and all other national security exchanges. *CFO* is an independent financial and investment advisory firm that is registered with the U.S. Securities Exchange Commission [SEC]. *CFO* is <u>not</u> affiliated with either United Planners Financial Services or Pershing LLC.

Pershing LLC has over 75 years of Wall Street experience and currently has more than **\$1 trillion** in global client assets in custody. Pershing and its affiliates provide global financial business solutions to approximately 1,400 major institutional clients in 40 countries. Pershing is owned by The Bank of New York Mellon Corporation, which is one of the world's leading provider of securities services and a top global asset management firm with more than **\$30 trillion** in assets under custody and/or administration.